



OFFICIAL RULES AND REGULATIONS 2017

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WWHA MISSION STATEMENT

The mission of The World Walking Horse Association (“WWHA”) is to record and preserve the pedigrees of the Walking Horse, while actively maintaining the integrity, protection and welfare of the breed; to provide beneficial services to its members that not only enhances their experience, but also encourages ownership and participation; to develop and produce educational programs that allow the public to learn about the breed, its history and versatility; and to generate growth of WWHA’s membership and support.

FORWARD

The World Walking Horse Association was founded in 2015 to collect, record, and preserve the pedigrees of all Walking Horses. WWHA serves its members and the general public as an authority on information, events, projects, and other matters pertaining to Walking Horses that are designed to improve the breed. WWHA works to promote horse ownership and grow markets for the Walking Horse in all countries through shows and other performance events. WWHA events provide opportunities for breeders, trainers, and exhibitors to compete for awards and recognition while also facilitating the continued improvement of the breed and the breed's natural gait without enhancements of any kind.

HUMANE TREATMENT OF THE HORSE

The safety and welfare of the horse will always be the fundamental principle guiding WWHA’s decision-making process and provision of services.

The welfare of all horses exhibited in WWHA-approved shows and/or events are to be safeguarded by comprehensive rules within these Rules and Regulations to ensure their well-being. In any circumstance where evidence indicates WWHA animal welfare rules have been violated, WWHA’s Board of Directors, Ethics Committee and/or Disciplinary Committee may take action that the Board or relevant Committee, in its sole discretion, deems necessary or appropriate. Such action may include, but is not limited to, the following: investigating the circumstance at issue; collecting facts, documents, and/or other information as necessary or appropriate; levying fines; placing a member on probation; suspending membership with no refund of dues and/or fees paid; expelling a member; or initiating legal action. In all instances where local, state, or federal law has been violated, WWHA will report such action to the appropriate law enforcement and/or regulatory body as required by law.

PUBLISHING NOTES

These Rules and Regulations are effective as of April 23, 2015, and will remain in effect as written unless modified by an official action of the Board of Directors. Any changes to the rules or regulations stated herein will be made available in PDF format and published online.

CONTACT

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WWHA IS NOT RESPONSIBLE FOR PERSONAL SAFETY

The World Walking Horse Association, its Board of Directors, Officers, Members, Staff and/or Volunteers **expressly disclaim any and all liability related to the safety of participants or any other person in attendance** at WWHA-sanctioned shows or events. WWHA sanctions shows and events for the very limited purpose of enabling fair competition while promoting the Natural Walking Horse. **It is the sole, exclusive, and individual responsibility of each and every participant, attendee, and/or other individual to ensure the safety and security of their person and belongings at WWHA-associated events.** Horses are very powerful animals that must only be engaged in a safe and responsible manner.

NEW RULES AND RULE CHANGES

A change to these Rules and Regulations may be enacted after passing a membership review and being approved by the affirmative vote of the Board of Directors. The Board of Directors, after receiving input from the membership, will then vote on the rule change proposal at the next annual meeting. New rules and changes to rules are italicized and the type is in a blue text box. Page numbers are listed in the Table of Contents.

WIRING AND RETURN CHECK FEES

DOMESTIC: In addition to checks, money orders, and bank wires, WWHA also accepts MasterCard, Visa, American Express and Discover. Please contact a WWHA member for wiring instructions.

Incoming bank wire fee	\$35
Return check fee	\$35
Credit card charge back	\$35

INTERNATIONAL: Payments submitted to WWHA must be in U.S. Funds drawn on a U.S. bank or additional foreign fees may apply. MasterCard, Visa, American Express and Discover are also accepted. Please contact a member of the WWHA for wiring instructions.

Incoming bank wire fee	\$50
Return check fee	\$70
Credit card charge back	\$35

FEES AND FEE SCHEDULE

All fees are based on postmark rates (postal meters not accepted). WWHA accepts U.S. funds only; additional fees may apply to funds not drawn on a U.S. bank. **DO NOT SEND CASH.** If paying by check, the sender grants WWHA that option to convert the check into a one-time electronic fund transfer. The check must be made out to The World Walking Horse Association. Membership fees may be paid at the same time as fees for services are submitted. It is recommended that they be sent separately to avoid processing delays.

2017 FEE SCHEDULE & PAYMENT WORKSHEET

Registration/Transfer Fees	Member Rate	Nonmember Rate	Subtotal
General Registration	\$30	\$80	
Alternative Registration	\$30	\$80	
DNA Kit (DNA required for Alternative and Breeding animals)	\$50	\$60	
DNA Data Input (for horses already tested)	\$10	\$60	
Transfer of Ownership (within 90 days)	\$10	\$60	
Transfer of Ownership (after 90 days)	\$25	\$75	
Name Change Request	\$50	n/a	
Rush Fee	\$50	n/a	
Replacement Certificate	\$15	\$65	
Membership Fees	Member Rate	Affiliate Rate	Subtotal
General Membership	\$40	\$30	
3 year General Membership	\$100	\$80	
5 year General Membership	\$150	\$125	
Youth Membership	\$25	\$15	
3 year Youth Membership	\$40	\$30	
Lifetime Youth Membership (converts to Adult Lifetime)	\$300	\$250	
Spectator Membership	\$15	n/a	
Family Membership (2 Adults + all children)	\$80	\$60	
Lifetime Family Membership	\$1200	\$1000	
Lifetime Membership	\$350	\$300	
Founders Club Membership	\$650	\$600	
Affiliate Organization Membership	\$250	n/a	
Program Fees	Member Rate	Affiliate Rate	Subtotal
Horseback Riding Enrollment	\$25	\$20	
Competitive Distance/ CTR Enrollment	\$25	\$25	
Competitive Distance/ CTR Renewal	\$15	\$15	
Competitive Distance/ CTR Additional Horse	\$10	\$10	
Ambassadorship Enrollment	Free	Free	
Breed Points Enrollment	Free	Free	

Total Enclosed: _____

Make checks payable to The World Walking Horse Association. If you would like to pay via PayPal, please send correct funds to worldwha@worldwha.org and make sure you include a note with your name, membership number and what you are paying for. If you would like to pay by Credit Card please complete the Credit Card authorization form.

BYLAWS

ARTICLE I

Name, Purpose, and Place of Business

1.1 Name. The corporation will be known as The World Walking Horse Association (“the Association”) and will at all times be operated and conducted as a domestic non-profit corporation in accordance with the Texas Business Organizations Code and the laws of the State of Texas.

1.2 Purpose. The purpose of the Association is to preserve, protect, and promote the Walking Horse.

1.3 Expanded Purpose. The expanded purpose of the Association is to preserve the history of the Walking Horse through the research, recording, and disseminating of historical information regarding the breed and its forbearers; to protect the genetics and health of the Walking Horse by partnering with universities to test genetics, recording the results of genetics along with bloodlines, and supporting efforts to increase awareness of issues facing the breed; to promote the use of the Walking Horse in diverse humane activities through extensive education of the public and promotion of the breed, and by networking multiple charitable efforts to assist breed supporters in preserving, promoting, and protecting the breed; with an end goal of helping to build a strong, viable breed of horse utilized by an educated, knowledgeable group of people.

1.3 Place of Business. The principal place of business of the Association will be Austin, Travis County, Texas.

ARTICLE II

Members

2.1 Member Status. Unless otherwise specified, the term “Member” means a Member having the right to vote. Members must be 18 years of age or older to join. In all matters governed by a vote of Members, each Member in good standing is entitled to one vote. A family membership entitles two adults to each have one vote. Members of the Association must be admitted, retained, and expelled in accordance with such rules and regulations as the Board of Directors may from time to time adopt. The Board of Directors may create additional classes of members of the Association who are not voting members.

2.2 Annual Meeting of Members. The regular annual meeting of Members (“Annual Meeting”) must be held at least once per calendar year at a date, time, and place designated by the Board of Directors for the purpose of transacting such business as may be brought before the meeting, and may be held in a virtual location.

2.3 Special Meetings of Members. Special meetings (“Special Meetings”) of Members may be held at such time as may be designated in the notice whenever called in writing by direction of the President or by a majority of the Board of Directors, or by a request signed by not less than twenty percent (20%) of the Members then in good standing. Special meetings may not be held less than sixty (60) or more than ninety (90) days following receipt by the Association of a request. Notice of each Special Meeting indicating briefly the object or objects thereof must be given in the same manner as provided with respect to notice of Annual Meetings.

2.4 Notice of Annual Meeting of Members and Record Date. Notice of the Annual Meeting must be mailed or sent by any means of online or electronic communication permitted by law:

- (a) not less than thirty (30) days prior to the date of such meeting;
- (b) to each Member who is in good standing on the date forty-five (45) days prior to the date of the meeting; and
- (c) to the Member's last known address in the Association's records.

2.5 Voting by Members. Members are not required to be present to vote, but may vote by mail or electronic ballot in accordance with the provisions of these Bylaws. Voting by proxy is not allowed.

2.6 Quorum of Members. The total number of Members attending any meeting of the Members, present in person or by mail or electronic ballot, held in accordance with the foregoing provisions as to notice constitutes a quorum of the Members for all purposes unless the representation of a larger number should be required by law and in that case the representation so required shall constitute a quorum.

2.7 Conduct of Member Meetings. The President will act as Chairman of any meeting of the members, but in his or her absence, any other officer may call the meeting to order and act as Chairman, with precedence being given to the order of officers listed herein. The Secretary will act as Secretary of all meetings of the Members, but in the absence of the Secretary, the presiding officer may appoint any person to act as Secretary of the meeting.

ARTICLE III Directors

3.1 Powers of the Board of Directors. The business and property of the Association will be managed and controlled by the Board of Directors. The Board of Directors has the power and authority to make, amend, repeal and enforce such rules and regulations, (not contrary to applicable law, or inconsistent with the Association's charter or these Bylaws) as it deems necessary concerning the conduct, management and activities of the Association, the admission and discipline of members, and any other applicable or appropriate subject relating to the purposes of the Association.

3.2 Number of Directors. The Board of Directors may not have fewer than 3 members or more than 9 members, as it deems suitable. At all times the number of Directors must total an odd number. Directors may be nominated and elected by a majority vote of the Board of Directors.

3.3 Qualifications for Directors. Each Director must meet the following requirements to be eligible to serve as a Director:

- a. Each Director must be a Member in good standing of the Association;
- b. A Director may not be serving or have served any Horse Protection Act suspension or violation (not overturned on appeal), from the United States Department of Agriculture ("USDA") or from any certified USDA Horse Industry Organization for at least the past ten (10) consecutive years;
- c. A Director may not have previously been convicted or pleaded guilty to a felony; and
- d. A Director may not have missed two consecutive meetings of the Board, unless this requirement is waived by the Board of Directors.

3.4 Term of Service for Directors. Directors will serve for a term of three years. If a vacancy occurs in the Board of Directors, the Board is authorized to fill the unexpired term with a Member who meets the qualifications for Directors.

3.5 Procedures for Election of Directors. Anyone who wishes to be a candidate for Director must notify the Board in writing. The Board may request additional information to verify an applicant's suitability; the applicant will bear the cost and labor involved to produce the additional information requested. A new Director may be elected to the Board through a majority vote of the existing Board.

3.6 Meetings of the Board of Directors. The Board of Directors must meet a minimum of four times annually. An annual meeting of the Board of Directors will be held once per calendar year at a date, time and place designated by the Board of Directors. Special meetings of the Board of Directors may be held whenever called and directed by the President or by twenty-five percent (25%) of the Directors who would be entitled to vote at the meeting. The Secretary or designated Director must give written or verbal notice of each special meeting to each Director at least two days before the meeting, but any Director may waive this notice requirement. Unless otherwise indicated in the notice thereof, any and all business may be transacted at a special meeting.

3.9. Quorum of Directors. A majority of the number of Directors constitutes a quorum for the transaction of business, but if at any meeting of the Board of Directors there is less than a quorum present, a majority of those present may adjourn the meeting until a quorum is convened.

3.10. Action by Directors by Electronic Voting or without a Meeting. Directors may take any action which they are required or permitted to take by electronic voting or without a meeting by written consent in accordance with applicable law.

ARTICLE IV Officers

4.1 Titles and Duties of Officers. The officers ("Officers") of the Association are: A President, who will preside over all meetings of the Members and Board of Directors; a Vice President, who will perform the duties of the President in the absence of the President; a Secretary, who will record and preserve minutes of all meetings of the Members and Board of Directors; and a Treasurer, who will perform the usual duties of a corporate treasurer. The Officers shall perform such duties as may be required by these Bylaws or by the Board of Directors.

4.2 Election and Replacement of Officers. All Officers must be elected by the Board of Directors at its annual meeting to serve until the election and qualification of a successor. All Officers must be Directors. An Officer may resign at any time, which unless otherwise specified, will be effective as of receipt of a written notice by the Board of Directors. Any Officer may be removed from his office by the Board of Directors with or without cause. The Board of Directors is authorized to fill any vacancies among the Officers at any time. Any Officer elected to fill a vacancy shall serve the remainder of the term of the Officer whose vacancy is being filled.

ARTICLE V Committees

The Board of Directors and Executive Committee, from time to time, may create and empower committees with such authority and responsibilities as may be delegated to them by the Board of Directors.

ARTICLE VI Indemnification and Insurance

6.1 Indemnification of Directors and Officers. The Association must indemnify and advance expenses to each present and future Director or Officer, or his or her executor, administrator, or other legal representative, to the fullest extent allowed by the laws of the State of Texas now in effect and as hereafter adopted. The Association may indemnify and advance expenses to any employee or agent of the Association who is not a director or officer, or his executor, administrator or other legal representative, to the same extent as to a Director or Officer if the Board of Directors determines that it is in the best interest of the Association. The Association has the power to contract with any individual Director, Officer, employee, or agent for whatever additional indemnification of the Board of Directors the Association deems appropriate, in accordance with applicable law. The foregoing right of indemnification and advancement of expenses is not exclusive of any other rights to which the Director or Officer may be entitled as a matter of law, or which may be lawfully granted to him. The indemnification and advancement of expenses hereby granted by the Association are in addition to, and not in restriction or limitation of, any other privilege or powers the Association may lawfully exercise with respect to indemnification, advancements, or reimbursement of Directors, Officers, or employees.

6.2 Insurance. The Association may purchase and maintain insurance on behalf of any Director, Officer or employee of the Association against any liability asserted against or incurred by the Director, Officer or employee in such capacity acting on behalf of the Association.

ARTICLE VII Amendments to Bylaws

These Bylaws may be adopted, amended, or repealed by the Members at any Annual or Special Meeting.

GENERAL RULES

GR-001. PROPOSED RULE CHANGES

- A. All of WWHA's rules and regulations may be amended or repealed at any time by a Rule Book change only after a majority vote of the membership has taken place.
 - 1. Whenever an amendment in the Rule Book is proposed, the rule with the reason for the proposed change should be directed to the WWHA office via certified mail with a postmark (postal meter is not acceptable) no later than December 31 so that it may be received well in advance of the annual meeting.
 - 2. A rule or regulation is not subject to amendment by change or alteration until it has been enforced for at least one (1) calendar year. This limitation may be waived by the Board of Directors upon finding extraordinary circumstances that concern the safety, health or well-being of any horse and/or rider; monetarily impact WWHA's programs or its financial stability; or involve other compelling circumstances.

GR-002. MEMBERSHIP

- A. Upon application, qualification and payment of required fees, membership entitles a person the eligibility for indicated membership privileges and one vote on membership matters.
- B. A combination of two persons, such as husband and wife, are included in all memberships and considered a Joint Membership.
- C. Termination or application rejection proceedings shall be conducted under the WWHA's disciplinary procedure. Termination or rejection may result in denial of privileges as set forth in the WWHA's disciplinary procedure.
- D. Corporations in good standing in the State or Country of their Incorporation, the officers, representatives, shareholders, and all beneficial owners are able to obtain membership in the name of a Corporation.
- E. Partnership, General or Limited in good standing in the State, Province or Country of their organization, the partners, limited partners, representatives, and all beneficial owners are able to obtain membership in the name of their Partnership.
- F. WWHA has the right to terminate membership or place on probation those members with infractions or violations with other organizations, should those infractions or violations indicate a breach of sportsmanship or good standing with WWHA.
- G. General privileges and responsibilities of members and non-members are as follows:
 - 1. All members, while in good standing, shall have equal rights, interests and responsibilities with respect to the WWHA and its property; shall obey and be bound by all By-Laws, Rules and Regulations of the WWHA and decisions or actions of the Board of Directors or Executive Committee; shall have the right to vote in the WWHA Director Election if a regular, lifetime or founders club member as of February 1 the year the election is conducted; shall have the right to vote by personal attendance at membership meetings and shall have the right to hold office and committee assignments, except as otherwise limited.
 - 2. Individuals who are non-members but wish to conduct business with WWHA agree to be bound by all By-Laws, Rules and Regulations of the WWHA and decisions and actions of the Board of Directors or Executive Committee.
- H. A membership fee is nonrefundable.

- I. In order for a Walking Horse to receive a WWHA award, the owner must be a WWHA member on the date the award is bestowed. To be eligible to receive the award, the owner may retroactively purchase the required annual membership. Despite owner eligibility, the horse's achievement will be recorded in its permanent record.

GR-003. VIOLATIONS

- A. No member and/or non-member shall refuse, upon request, to assist the WWHA and its officers, committees and/or agents in locating, inspecting and/or to answer promptly and truthfully any inquiry concerning any member, animal and/or ancestor of animal in his/her control or ownership, which has been registered and/or listed or for which an application of registration has been made.
- B. No member and/or non-member upon request shall refuse to promptly and truthfully provide information concerning any WWHA matter of which they have knowledge.
- C. Violations of this rule will be grounds for disciplinary action at the sole discretion of the Board of Directors.
- D. Any member and/or non-member may be suspended, sanctioned, placed on probation, and/or denied privileges of the WWHA, if he/she fails to pay when due any obligation owing the WWHA. This includes but is not limited to membership dues, registration fees, return check fees, office charges, and/or any other fees or charges incurred with WWHA.
 - 1. Certified funds must be mailed and/or brought to the WWHA in order for the transaction to be processed.
 - 2. Any person(s) involved may be suspended and/or placed on probation if restitution is not made within thirty (30) days of being notified.
 - 3. Ten (10) days prior to any disciplinary action by the WWHA, a written notice of each action will be issued to each member and/or non-member associated with the transaction.
- E. Upon being suspended and/or placed on probation by the WWHA, the name of the member(s) and/or non-member(s) may be published on the official WWHA Website and/or WWHA Newsletter.
- F. In the case of members and/or nonmembers who are suspended or placed on probation and denied privileges under this section, such suspension or probation will terminate upon whole payment of the obligation due.
- G. No member(s) and/or non-member(s) will represent by advertisement and/or claim otherwise, that a horse in his/her care and/or ownership is registered with WWHA and/or has earned or is entitled to any WWHA designation, honor and/or title prior to the actual recording of said designation, honor and/or title in the official WWHA records. Violation of this rule may result in disciplinary actions.
- H. No member(s) and/or non-member(s) shall conspire with any person(s) to intentionally violate the rules and regulations set by the WWHA or knowingly contribute and/or cooperate with any person(s) who violate the rules and regulations set by the WWHA. Violation of this rule will result in disciplinary action and fines, regardless of whether or not such member(s) and/or non-member(s) has actually signed reports filed with the WWHA asserted to be true and correct.
- I. All information given to WWHA for any action by WWHA or any of its officers with respect to a horse must be true and correct to the best of the knowledge of the person submitting information.
- J. No member(s) and/or non-member(s), firm, or corporation shall issue, sell, give away, exchange, receive and/or offer to do so, any false and/or fraudulent certificate, representing the same to be an official certificate issued by WWHA.

- K.** No member and/or non-member shall advertise, exhibit and/or enter any WWHA-approved event and/or show any animal registered or listed with WWHA by any other name than by which it is registered.
- L.** No member(s) and/or non-member(s) shall send fraudulent registration applications through the United States Mail service and/or other mail carrier with the intent to defraud. This constitutes mail fraud, and is a Federal offense and subject to prosecution by Federal authorities.
- M.** No member(s) and/or non-member(s) shall change and/or alter any certificate of registration or listing of identification. Corrections and changes shall be made by official WWHA representatives upon showing proper proof of the necessity for such change to occur, to include but not limited to color changes, changes in markings, applicant errors, WWHA error or the like.
 - 1.** Unauthorized changes to an official certificate will cause the said certificate to become null and void and will not be recognized by WWHA. No transfer shall be accepted if said horse is sold, and the progeny of such horse shall be denied registration and/or transfer until a new registration application and appropriate fees have been submitted, and a new certificate of registration has been reissued by WWHA.
 - 2.** The ownership of all issued registration certificates remains with the WWHA at all times including all registration numbers. Information such as horse's name, pedigree, color and/or markings remain the under the control of the owner of the horse.
- N.** No member(s) and/or nonmember(s) shall at any time, for any reason, treat any horse(s) in a cruel or inhumane manner including but not limited to banned substances, soring, and any other acts that would be considered cruel and inhumane by WWHA.
 - 1.** Any conviction of a member(s) and/or non-member(s) under state and/or federal law for the offense of cruelty and/or inhumane treatment of a horse(s) including judicial confiscation of horses, whether or not a registered Walking Horse was involved in the matter, shall constitute a violation of this rule.
 - 2.** Any member(s) and/or non-member(s) who are in violation of the current Horse Protection Act (HPA) law are subject to being placed on probation while the Executive Committee investigates said violation. HPA violations will be carefully looked at and monitored and can result in the member(s) being suspended and/or sanctioned.
 - 3.** The WWHA may accept suspension(s) pertaining to cruel and/or inhumane treatment from other recognized industry related associations and commissions, the effect of which will be to automatically suspend any member from WWHA membership privileges for a length of time equal to the suspension for which reciprocity is given.
- O.** Owner(s) and/or lessee(s) of a horse(s) registered with WWHA is responsible for the actions of all third parties whose care, custody and/or control the owner(s) and/or lessee(s) has placed the horse in, including but not limited to trainers and/or exhibitors. When such party is found to be in violation of WWHA rules and regulations involving the owner(s)' and/or lessee(s)' horse, especially if the owner(s) and/or lessee(s) has knowledge of such rule violation, the owner(s) and/or lessee(s) is responsible to take prompt and reasonable action to protect his/her horse and the WWHA from repeat offenses. Failure to adhere to this rule may subject the owner(s) and/or lessee(s) to disciplinary action.
- P.** Representatives of WWHA, including, but not limited to Board of Directors, Executive Committee members, officers, staff, judges, directors, committee members, exhibitors, and/or inspectors shall be treated with courtesy, respect and cooperation. No member and/or non-member shall direct abusive or threatening conduct toward them at any time. Violation of this rule is subject to immediate suspension.

1. Unsportsmanlike conduct by members and/or non-members, including but not limited to show and event officials, owners, trainers, handlers, and/or grooms will not be tolerated. Unsportsmanlike conduct is defined as disrespect, fraud and/or deceit toward show and/or event management, judges, stewards, WWHA representatives, members and/or other exhibitor(s). All individuals who display unsportsmanlike conduct are subject to disciplinary action by the Executive Committee.
- Q.** If a situation arises to the attention of the WWHA through records and is in violation of WWHA rules and regulations, this violation will be forwarded to the proper committee for review and/or disciplinary action.
- R.** WWHA shall reserve the right to determine who may participate in any WWHA activities.

GR-004. COMPLAINT AND REVIEW

- A.** Disciplinary procedures are for ensuring that the rules and regulations and/or the Bylaws of the WWHA are followed. These procedures will ensure the rights of members and/or non-members who participate in WWHA-approved shows and/or events.
1. When a member(s) and/or non-member(s) believes that the conduct of someone at a WWHA-approved show and/or event warrants disciplinary action, or whenever any member(s) and/or non-member(s) believes he/she has been harmed by a rules violation or there has been a breach of WWHA confidentiality, he/she must file an incident report within twenty (20) days of the actual incident to the WWHA.
 2. Any complaint(s) must be accompanied by a filing fee (consult fee schedule). Said fee must be in the form of a money order, certified check, cashier's check, valid credit card, and/or approved electronic means in order to be processed. The complaint form must be fully complete; all filing fees are non-refundable.
 3. The filing fee may be waived if the complaint is submitted by an Officer, Board of Director, Executive Committee member and/or staff member.
 4. The WWHA President and designees will investigate and/or gather evidence, facts and/or statements regarding the complaint. A letter will be issued to the individual(s) listed on the complaint to the last known address on file with the WWHA.
 5. After all information has been gathered, the information will be submitted to the Board of Directors, and the Board will convene either in person or via conference call or online streaming along with legal counsel. The role of WWHA legal counsel is to act as a mediator and counsel will have no vote in said matter. The Board will consider and review all information presented about the investigation and then rule in one of the following ways:
 - a. Find that there has been no rule violation and dismiss the matter.
 - b. Decide that the issue needs further review and then send the matter to the Executive Committee and/or appropriate Committee for review and determination.
 - c. Find that the claim is not eligible and/or does not warrant a hearing, and issue a letter of concern to both parties.
 - d. Find that the claim has just cause and determine that a hearing is needed.
 6. If just cause is established and the claim is set for a hearing before the Executive Committee and/or other Committee, the Board may also submit a sealed envelope that contains its recommendations on an appropriate course of action. If the Board finds the matter does not warrant a hearing, all individuals will be notified. In the event a hearing is approved, the individual accused of the violation will be notified thirty (30) days before the hearing date.

7. The individual accused of violation will have the right to a physical appearance at the hearing, and to be allowed to speak on his/her behalf and provide any addition information in his/her defense. The individual may have others speak on his/her behalf, including but limited to an attorney, which shall be done at his/her own expense and not billed to the Association.

GR-005. DISCIPLINARY PROCEDURE

- A. When member(s), participant(s) and/or non-member(s) and/or any individual appear before the Executive Committee, Board of Directors and/or other Committee to give testimony in the course of said hearing or investigation, the individual(s) accused agrees that all witnesses and participants in such investigation and hearing shall be immune from any civil liability, including but not limited to slander, defamation, libel, and/or invasion of privacy, for testimony given in the course of said hearing.
- B. HPA Violations are considered cheating and a form of unsportsmanlike conduct according to WWHA Rules and Regulations and are subject to disciplinary procedure. Except as provided elsewhere in the Rules and Regulations, in regard to violations of WWHA rules and regulations by an individual or entity, the Board of Directors shall impose as a minimum any or all of the actions as listed in the Disciplinary Schedule in Appendix A.
- C. In addition to the minimum sanctions above, the Executive Committee shall have the authority to invoke any or all disciplinary actions, including but not limited to denial of membership privileges; denial of access to show or event grounds at any WWHA-approved show or event; denial of registration; lifetime ban of services.
- D. When sanctions and/or other actions are imposed by the Board, a return of prize money, trophies, and/or other awards may be required for the show or event at which the individual was accused and found guilty of violation of the WWHA Rules and Regulations.
- E. Any member(s), non-member(s), owner(s), trainer(s), exhibitor(s), spectator(s), groom(s), and/or any other persons attempting to influence the outcome of an investigation will be subject to disciplinary action by the Board of Directors. Contact of any type with members of the Board concerning any aspect of any investigation that has not been fully heard will be viewed as an attempt to influence the outcome of said investigation and will be considered unsportsmanlike conduct and may result in disciplinary action.
- F. The decision(s) and action(s) of the Board of Directors are final and binding.
- G. For member(s) and/or non-member(s) who have been suspended, denied privileges and/or expelled in addition to other provisions and policies of the WWHA, the following may apply:
 1. Participant shall be ineligible to participate in any WWHA-approved show and/or event.
 2. When suspension occurs from failure to pay dues, and/or other fees obligated to the WWHA, no member(s) shall be eligible to hold any WWHA license.
 3. Written leases on file with the WWHA prior to the time of sanctioning and covering horse(s) owned will be valid, and the signature on the lease accepted during the term of said lease. However, the signature will not be recognized on a renewal of lease if signed during the time of sanctioning.
 4. If denied show and event privileges including the access to show and/or event grounds, said individual will refrain from entry on the show and/or event grounds of any WWHA-approved show and/or event during the time of his/her sanctioning.
 5. During the time of sanctioning, failure to adhere to and/or comply with sanctioning may cause further disciplinary action to be taken.

GR-006. RECIPROCITY

- A.** Any individual(s) who is suspended and/or otherwise sanctioned by one or more organization(s) may be suspended and/or sanctioned by the WWHA upon official notice being given or presented to WWHA by said organization(s) for the following violations:
 - 1. Unsportsmanlike conduct.
 - 2. Inhumane and/or cruel treatment.
 - 3. Violation of any current HPA laws and any HIO or WWHA Rules and Regulations.
 - 4. False documentation and/or fraud.
- B.** Reciprocity may occur when the WWHA has been given proof that an individual(s) has been sanctioned, suspended and/or disciplined by any recognized organization, which includes, but is not limited to the following:
 - 1. Tennessee Walking Horse Breeders' and Exhibitors' Association.
 - 2. Animal and Plant Health Inspection Service / United States Department of Agriculture.
 - 3. Any Horse Industry Organization (HIO).
 - 4. Any registry or equine organization in which the individual(s) may be involved.
- C.** The WWHA sanctioning and/or disciplinary action will run concurrently with the organization's sanctioning and/or disciplinary timeframe.
- D.** Any member(s) and/or non-member(s) may request at any time a hearing before the Board to present evidence that due process was not given by the organization.
 - 1. All requests must be submitted in writing and sent by certified mail.
 - 2. The request must be postmarked within twenty (20) days of the date notice was issued and received by the individual(s).
 - 3. A fee of \$150 must be submitted with the request and shall only be accepted in form of a money order, cashier's check, valid credit card, check drawn from the bank itself, and/or approved electronic means.
 - 4. Fee will be refunded if the appeal is accepted and ruled in favor of the applicant.
 - 5. All sanctions remain in effect during the process of all hearings and/or investigations.

GR-007. GENERAL NOTICE

- A.** All notices that are required by the rules and regulations of the WWHA may be served by a notice delivered to the person(s) being served and/or to his/her attorney, either in person and/or by certified mail, postage paid to his/her last known address listed in the WWHA records.
- B.** Upon such notice, it shall be deemed received by said individual when it is placed in the care of the United States Postal Service and/or other mail carrier.
- C.** Such notice(s) may be served via FAX transmission to the last known fax number, listed in the WWHA records. Such transmission shall be deemed received by said individual by confirmation that said FAX has been sent.

GR-008. CONFLICT OF INTEREST

- A.** A conflict of interest occurs whenever the personal or professional interest of a Committee or Board Member is potentially at odds with the best interests of the organization. WWHA will always seek to avoid impropriety.

- B. As a limited number of individuals and businesses are qualified to provide goods and services required by WWHA, situations may arise where Board or Committee members are commercially engaged by WWHA or hired by WWHA for projects, workshops, clinics, etc. Because these situations all involve potential conflict of interest, the following procedures apply.
- C. If an issue is to be decided by the Board that involves potential conflict of interest for any of the above named, it is the responsibility of that serving member to:
 - 1. Identify the potential conflict of interest.
 - 2. Not participate in discussion of the program or motion being considered.
 - 3. Not vote on the issue.
- D. It is the responsibility of the Board and/or Executive Committee to:
 - 1. Only decide to hire or contract with the serving member(s) if they are the best qualified individual(s) available and are willing to provide the goods or services needed at the best price.
 - 2. Record in the minutes of the Board meeting and/or Executive Committee meeting the potential conflict of interest and use of the procedures and criteria of this policy.
- E. Although it is not a conflict of interest to reimburse Board Members, Committee Members and/or Staff for expenses incurred (such as the purchase of supplies), Board Members and/or Executive Committee members are prohibited by law from being paid for serving on the Board. Generally, Board Members will not receive pass-through dollars for individual projects.

GR-009. RELEASE OF LIABILITY

- A. WWHA is not liable or responsible for any personal injury including death, loss, theft, and/or damage to property at any WWHA-approved show and/or event.
- B. Each owner(s), exhibitor(s), handler(s), trainer(s), breeder(s), member(s), nonmember(s) and/or consignor(s) must indemnify and hold harmless the World Walking Horse Association, Inc., its officers, directors, employees, agents, volunteers, members and/or representatives from and/or against all claims and/or demands, cause of actions and/or expenses of every kind, including but not limited to attorney's fees and/or court costs arising in relation to any manner of acts and/or omissions of an owner, exhibitor, handler, trainer, breeder, member, nonmember, and/or consignor and/or the actions of any animal under their care, custody and/or control. **Participation in a WWHA event constitutes the acknowledgment and acceptance of this Rule GR-009.**
- C. In the event an entry form and/or consignment form has not been signed and/or presented, appearance on the grounds and/or at a sale ring of any WWHA-approved activity in any capacity will constitute acceptance of this rule.

GR-010. TELEVISION AND MEDIA RULE

- A. Attending a WWHA-approved show and/or event, in any capacity, provides affirmative consent for WWHA, its designees, agents and/or assigns to photograph, video, televise, publish, and/or post to the Web and/or record by other means (hereinafter referred to as "photographic material") any person(s) and/or animal(s) on the grounds of a WWHA-approved show and/or event.
- B. Attendance further constitutes authorization for the use of such person(s) name, voice and/or biography, name, pedigree and/or performance record of any animal(s) on the grounds, (hereinafter referred to as "related information") in conjunction with any photographic material, unless the attendee provides a written notice of refusal to WWHA prior to the event.

- C. Said photographic material and/or related information may be used at the discretion of WWHA when it determines it would be beneficial to promoting the organization's purposes, goals and/or mission, provided that no photographic material and/or related information may be used in conjunction with an endorsement of any product unless written consent is obtained.
- D. Attendance further constitutes an agreement to the terms and conditions set forth in this rule and shall constitute as a waiver and release without limitation, including but not limited to, any television, motion picture, radio, photographic and/or other similar rights, including rights of privacy, any individual(s) and/or animal(s) may have in or to such photographic material and/or related information when such information is obtained by the WWHA at any WWHA-approved show and/or event by its agents, representatives, designees and/or assigns.
- E. A member(s) and non-member(s) using any WWHA data for commercial use, has a duty to preserve and/or protect the pedigree, registry, performance records, and other property of the WWHA, including but not limited to electronic data available to members on the official WWHA Website and/or other consensual access, and the duty to use such data only for the purposes which the WWHA has granted the conditional license. Publication of such data shall carry the following designation: "Information was provided by the World Walking Horse Association, Inc. from its Official Records."

GR-011. STATE/PROVINCIAL ASSOCIATION/CLUB

- A. In any State and/or Region where there is not already a current affiliated WWHA State/Regional Association/Club, a group of current WWHA members may organize and request to be recognized as an affiliate for the purpose of conducting shows and events for the Walking Horse and/or other activities for the promotion of the Walking Horse compatible with the WWHA's mission.
- B. In any State and/or Region where there is already a current affiliated WWHA State/Regional Association/Club, current WWHA members may organize and request to be recognized as an official affiliate for the purpose of conducting shows for the Walking Horse and/or other activities for the promotion of the Walking Horse compatible with the WWHA's mission. In this case, the new affiliate may not offer WWHA affiliated activities that directly conflict with other affiliate activities in that region.
- C. The following requirements are required for receiving affiliation:
 - 1. The applicant organization must provide the WWHA an application of affiliation with an application fee as their intent to affiliate.
 - 2. Any officer representing the applicant organization should be made available for any questions regarding said application.
 - 3. Results of affiliate activities must be reported to WWHA by the affiliate's designated representative.
- D. Affiliation is not a right, it is a privilege and granted and/or rejected at the discretion of the WWHA on an annual basis in accordance to the procedures and requirements set forth in the rules and regulations.
- E. Each State/Provincial Association/Club shall not and will not have the authority to act for and/or bind WWHA in any manner.
- F. Each State/Regional/Club affiliation status may be reviewed and/or rescinded by the appropriate committee and/or the Board of Directors if any of, but not limited to, the following occurs:
 - 1. Cessation of activities such as meetings, election of officers, at least one approved WWHA show and/or event each year.
 - 2. Officers, directors and/or inspectors are not reported.

3. The Affiliate's goals and actions change to no longer reflect support of WWHA's mission.
- G. The WWHA does not assume responsibility for the acts and/or omissions of any State/Provincial Association/Club, its officers, directors, employees, agents and/or representatives. Affiliation exists on a voluntary basis, according to the guidelines set forth by the WWHA, to promote the Walking Horse, protect its welfare and create a program of dissemination of information and allocation of benefits and/or assistance at the State and/or Provincial levels.

GR-012. INTERNATIONAL AFFILIATES

- A. The WWHA may at any time recognize international associations which have as their purpose the promotion of the Walking Horse to their members.

GR-013. WWHA FUNDS

- A. Any and all fees or monies due to WWHA become the property of WWHA upon collection and must be turned over to WWHA within thirty (30) days of collection. The officers of any such State/Provincial Association/Club and/or individuals responsible for the collection and disbursement of such funds will be ***personally liable*** for any funds collected and subject to the rules pertaining to violations and disciplinary procedures.
- B. Any and all fees or monies due WWHA must be paid in U.S. funds only.
- C. Compliance with budgets is the responsibility of each respective Chair. Budgets will be adhered to by all members of the committee and monitored by both the Chair and the Board of Directors. Anticipated needs and expenditures in excess of budgeted amounts must have prior approval of the Board of Directors. Charges that negatively impact the fund's balance must be reported immediately to the President and the Board.
- D. No expenditure of \$300 or more is permitted unless approved by the Board of Directors.

GR-014. FRAUDULENT PRACTICES

- A. No person may represent a horse owned or managed by him/her to be registered with WWHA unless the horse is registered in the WWHA registry.
- B. No person, firm, or corporation may issue, sell, exchange, give away or receive, or offer to do any thereof, any false or fraudulent certificate representing same to be a genuine official certificate issued by WWHA.
- C. No person may advertise, enter in any WWHA event, or compete any animal registered or listed with WWHA by a name other than that by which it is registered or listed.
- D. No change or alteration of a certificate of registration, listing or identification required by the WWHA may be made except by WWHA or its official representative upon proper showing of the necessity for such change or alteration by reason of change in color, mistake or the like; nor shall any person display or advertise or have in his possession any such certificate, including but not limited to the original certificate issue, a duplicate certificate issue, a photocopy, a scanned copy or image that has been changed or altered otherwise, other than by WWHA or on its authority.
- E. No person may represent any horse as a registered World Walking Horse other than the horse for which said certificate was issued.
- F. No person may bribe or attempt to bribe a WWHA representative, WWHA-approved judge, or any other official of a WWHA-approved event.

GR-015. RECALL

- A.** Any Committee member, Officer and/or Director may be removed from office with and/or without cause as herein provided. A recall petition that demands the removal of named officer must be submitted to the Board of Directors along with a \$350 processing fee. Said petition must be signed by at least twenty five percent (25%) of the membership body.
- B.** The Secretary will record the name of the Director, Committee member and/or officer sought to be removed. The Secretary shall also record the name of the petitioner, the date of issuance, and the number of forms issued and certify on each form the date and the name of the person to whom it was issued.
- C.** All petition forms must be assembled and filed with the Board within thirty (30) days.
- D.** In the event that the required verifiable number signatures are not received by the Board within thirty (30) days, the Secretary shall notify those having filed the recall petition and inform said party that it was insufficient and that insufficiency does not prejudice the filing of a new affidavit for the same purpose.
- E.** No more than ten (10) days following the determination by the Board that said petition is sufficient, the Secretary shall then notify in writing via electronic mail and/or written letter the officer whose removal is sought by the recall petition. If said officer does not render his/her resignation within fourteen (14) days after receiving such notice, the Board shall direct and conduct an election by mail ballot and/or online ballot of the full membership body.
- F.** Should the vote not pass, the Officer and named shall continue in office for the remainder of his/her term. However, they will be open to recall as before once his/her term is completed.
- G.** No recall petition shall be filed on any officer within one hundred (100) days after a previous failed petition.
- H.** If a majority vote is cast in a recall election, the named individual shall be removed from office.

GR-016. UNSPORTSMANLIKE CONDUCT

- A.** Misconduct and/or unsportsmanlike behavior that includes but is not limited to profane language, illegal acts, intimidation, and threats will not be tolerated by the WWHA and is prohibited at all WWHA-approved shows and/or events.
- B.** Event Management at their discretion may at any time request removal from the show grounds any individual(s) who exhibits unsportsmanlike conduct and will file a written report with WWHA.

GR-017. CONTRACTS AND VERBAL ISSUES

- A.** No officer, member, employee, or agent of the World Walking Horse Association, Inc. may enter into any contact or transaction on behalf of World Walking Horse Association, Inc. in which they or any member of their immediate family has direct or indirect financial interest without approval of the Board of Directors after a full disclosure of all the facts material to the transaction.
- B.** No officer or director may vote on any matter in which they or any member of their immediate family has direct or indirect financial interest or a direct personal interest without prior approval of the Board of Directors after they have fully disclosed all the facts.

- C. When designated as confidential, any information or material given to WWHA officers, directors or committee members must be kept private and confidential and may not be disseminated or discussed with individuals who are not an officer or director of the WWHA.
- D. Contracts and agreements which exceed the authority of staff in terms of monetary value and/or have a duration of more than one (1) year must be sent to the President and Board of Directors for prior approval.
- E. All contracts and agreements must be signed by the President and/or Officers.
- F. All contracts and agreements must be housed and maintained at the WWHA's headquarters. WWHA will not be responsible for or recognize verbal agreements.

GR-018. EVENT APPROVAL AND WWHA APPROVED EVENTS

- A. Event approval is a privilege and granted or rejected at the discretion of WWHA on an annual basis by evaluation of the applicant and application, including but not limited to competence of Event Management, enforcement of WWHA Rules and Regulations, the quality of the event, and the services to WWHA members and non-members.
 - 1. WWHA has the right to approve only a certain number of events per calendar year which WWHA deems appropriate.
 - 2. A WWHA-approved event means that the WWHA will receive from event management and record the event results in the official record of the horse and owner.
 - 3. All WWHA-approved events must be open to any WWHA member meeting all eligibility requirements.
 - 4. The exhibitor must accurately complete entry forms and assumes all responsibility for any errors he/she makes.
 - 5. WWHA has the right to limit event or class approval for any event that offers a padded, chained, or heavily weighted division. If such events/classes are listed on the event's premium list/show bill, WWHA has the right to refuse approval of the event.
 - 6. Affiliates will have first right of refusal on all event dates.
 - 7. Event Management that is exceedingly delinquent in submitting event approval requests, results, or information will be reviewed by the WWHA and could cause future approvals to be denied.
- B. Event officials are considered any person performing the duties of an event manager, steward, ring steward, judge, or show secretary. Any reputable person may act in the capacity of an event official who can furnish proof that he/she is qualified and able to hold such position.
 - 1. No event official or immediate member of their family shall enter or exhibit a horse in any WWHA-approved shows in which he/she is officiating.
 - 2. No horse owned by such show official shall be entered and exhibited in any WWHA-approved show in which he/she is officiating.
 - 3. The Event Manager shall be available to receive written and/or verbal complaints from exhibitors, trainers, owners, participants, and WWHA members of incidents of cruel, abusive and/or inhumane treatment of horses on show grounds and/or a complaints of rule violations and shall forward them to WWHA.
 - 4. Show Manager shall at his/her discretion expel anyone from the show grounds exhibiting unsportsmanlike conduct and shall file a written report with the WWHA.

GR-019. AMENDING REGISTRATION AND STANDING RULES

- A. Proposed rule changes must be submitted in writing and delivered by certified mail one hundred (100) days prior to the Board of Directors meeting so that it may be published on the WWHA Website and/or WWHA Newsletter.
- B. All proposed changes to the Rules and Regulations must state the member(s) name, city, state, Committee, and membership number of the person who has submitted the amendment. This information shall be submitted then to the appropriate Committee(s) or Board.
- C. Standing Committee(s) shall review and discuss the proposed rule changes, deletions and/or additions before reporting to the Board of Directors and the Executive Committee for a vote.
- D. Rules and regulations other than those regarding the By-Laws and the Constitution may be amended at any time by change, addition, and or repeal by a majority vote of the membership, but only after the proposed change shall have been submitted to and approved by the Rules and Executive Committees.
- E. Rules and Regulations may be changed at the discretion of the Board of Directors in cases where an existing WWHA rule conflicts with legal precedent or existing local, state, or federal laws.

REGISTRATION AND TRANSFER RULES

RR-001. PURPOSE

- A. The purpose of the WWHA Registration is to provide an opportunity for all owners of Walking Horses to register their horses so they can document and track the horse's parentage, the horse's DNA type, and the horse's accomplishments in all aspects of horsemanship.

RR-002. QUALIFICATIONS

- A. Any Walking Horse that has been DNA Typed, Blood Typed and/or has already had Parent Verification done with another approved registration association is eligible for registration with the WWHA.
- B. Any Walking Horse that has been registered with another registration association that has a full Walking Horse pedigree is eligible for registration.
- C. Any Walking Horse that is not registered and has more than 75% Walking Horse genetics is eligible for registration.
- D. Stallions and mares must be DNA Typed before they begin their breeding career in order for their progeny to be eligible for registration. This includes geldings and spayed mares that have previously produced WWHA eligible progeny.
- E. For horses which are registered by WWHA members, the ownership name on the registration certificate will identically match the name of the member submitting the application for registration. (Clarification: if your membership is in the name of Smith Farms, the owner listed on the registration certificate will be Smith Farms.)

RR-003. GENERAL RULES OF REGISTRATION

- A. Applications can be completed via hardcopy (use ink) and mailed or Emailed to the WWHA.
- B. Application must be completed in full; missing information may cause the application process to be delayed and may cause the application to be rejected.

- C. Clear color digital photos of the horse's front, back and both sides are required, along with any distinguishing markings, such as a brand.
- D. If already registered with another Association, a copy of the current registration papers must be sent with the application.
- E. If horse has been DNA Typed or Parentage Verified, a copy of those results should be included with the application for registration.
- F. Name changes are accepted after they have been reviewed and approved. (See RR-07 for clarification).
- G. Color changes are permitted if a horse changes color over time, such as black to gray or chestnut to red roan. Photographs of color changes may be required.

RR-004. NEW REGISTRATION

- A. New registration follows the same rules as in the General Registration.
- B. Any horse that is not registered with another walking horse association and is the offspring of registered walking horse parents is eligible for regular registration with WWHA.
- C. Signatures from both the sire and the dam owners at the time of breeding are required on the registration application, or an equitable affidavit must be submitted along with the application.

RR-005. CORRECTIONS AND CHANGES TO REGISTRATION

- A. Changes and/or corrections are permitted in the following cases listed below at no charge to the member (a small fee from non-members is required):
 - 1. WWHA caused an error in spelling the horse's name.
 - 2. WWHA caused an error in the horse's pedigree.
 - 3. WWHA caused an error in the DNA confirmation, blood typing, color test results, and/or any other information directly on the registration papers.
- B. Changes and/or corrections other than listed above are permitted at the discretion of the WWHA and require a small fee. (see fee schedule for current rates)
- C. If requesting to change or update the horse's photographs or color, pictures of the horse from the front, back and both sides are required.

RR-006. NAME QUALIFICATIONS

- A. Names can be a maximum of 25 characters, to include letters, numbers and/or spaces. Excessive symbols and alt codes are not allowed.
- B. Names of previous Walking Horses that are deceased are not allowed.
- C. Names that are currently trade marked and/or service marked are not allowed unless proof has been submitted showing permission to use such marks.
- D. Names that could be considered derogatory or discriminatory are not permitted.
- E. Curse words are not permitted.
- F. Name changes are permitted by review, see rule RR-07 for clarification and eligibility.

RR-007. NAME CHANGES

- A. Name changes are granted after they have passed the review process and have been properly filed with WWHA.
- B. The official registration certificate and appropriate fee must accompany the name change request form.
- C. Name changes are not permitted for the following:
 - 1. The horse has competed in an official WWHA show or event.
 - 2. Owner(s) or horse is currently on suspension and/or just been removed from suspension for HPA violation(s).
 - 3. Horse has earned an achievement and/or recognition award.
 - 4. Horse has earned any money prizes or awards with an official WWHA affiliate.
 - 5. The horse has progeny.
- D. If WWHA has caused error in the spelling and/or misinterpreted the horse's name, the owner may request a correction to the certificate, as long as it is submitted on the required form and the official registration certificate accompanies it. WWHA errors will be corrected free of charge.

RR-008. TRANSFER OF OWNERSHIP

- A. The former owner(s) must present the new owner(s) with the horse's original registration papers and a signed Transfer of Ownership form. The former owner(s) signature is required on both.
- B. If the former owner(s) does not provide the new owner(s) a Transfer of Ownership form, a bill of sale signed by both parties will be accepted and binding.
- C. A horse must be transferred to the new owner(s) within ninety (90) days from the date of relinquishment to avoid a penalty fee. Transfers may be initiated by either buyer or seller.
- D. If the horse has not been transferred into the new owner(s) name after one year from the date of relinquishment, the new owner may be required to provide proof of the horse's identity in order to complete transfer.

RR-009. COLORS AND MARKINGS

- A. The colors, color modifiers and markings accepted are based on Dr. Phillip Sponenberg's textbook *Equine Color Genetics* (current edition).
- B. If a horse is a base color with a color modifier, it must be indicated on the application form.
- C. The WWHA reserves the right to correct the color and/or markings indicated on the application to match Dr. Sponenberg's assessment.
- D. Color test results are welcome and encouraged to be included with the application.
- E. If the horse changes color throughout its lifetime, the owner may request in writing that corrections be made to the certificate.
- F. Clear photographs of the horse's front, back and both sides are required, along with any distinguishing markings, such as brands.
- G. The current list of accepted colors are as follows:
 - 1. Bay
 - 2. Black
 - 3. Brown
 - 4. Buckskin

5. Champagne
 - Amber (bay champagne)
 - Classic (black champagne)
 - Gold (chestnut/palomino champagne)
6. Chestnut
 - Sorrel (light chestnut)
 - Chestnut (medium chestnut)
 - Liver Chestnut (dark chestnut)
7. Cremello
8. Palomino
9. Perlino
10. Silver (chocolate)
11. Smoky Black (color testing results are required)
12. Smoky White (color testing results are required)
13. White (pink skin on whole body)

H. The current accepted color modifiers are as follows:

1. Appaloosa patterns
2. Dun
3. Flaxen mane and tail
4. Gray
5. Mixed main and tail
6. Overo
7. Roan
8. Sabino
9. Tobiano
10. Tovero

I. The current accepted color markings are as follows:

1. Snip – any white marking between the nostrils.
2. Star – any white marking on the forehead.
3. Strip – any narrow white marking extended vertically between the forehead and the nostrils.
4. Any combination of a Snip, Star and/or Strip.
5. Blaze – any white vertical marking of uniform width and length extending the length of the face.
6. Bald – a very broad blaze that may extend out around the eyes and/or down to the upper lip and/or around the nostrils.
7. Coronet – any narrow white marking around the coronet band.
8. Pastern – any white marking that includes the entire pastern and below.
9. Sock – any white marking that includes halfway up the cannon bone and below.
10. Stocking – any white marking that includes the hock and below.

J. Please indicate if the horse(s) have any of the following, including the location and a clear color photograph of the marking:

1. Tattoo
2. Brand
3. Significant scarring

RR-010. REJECTED APPLICATIONS AND CANCELLATIONS

- A.** In the event a horse is rejected for registration, the application and supporting documents shall become the property of the WWHA. Half of the registration fee accompanying a copy of the application will be returned to the applicant, providing all fees have been paid.
- B.** When an application for registration is rejected an appeal may be made to the Board of Directors along with the required fee of \$30. WWHA shall have the authority to appoint a designee to review information submitted and/or request additional information.
- C.** In the event that a registration is requested to be canceled, the application and supporting documents become the property of the WWHA and the fees will not be refunded.

AR-001. ALTERNATIVE REGISTRY

The purpose of the Alternative Registry is to return Walking Horses to registered status, and to move forward with competition, registration, and breeding programs that are financially feasible and which allow such horses to become part of the future of the Walking Horse breed.

- A.** Any Walking Horse that is identifiable as a Walking Horse by genetic testing is eligible for this registry.
- B.** Any Walking Horse eligible for Alternative Registration with WWHA must not hold a valid registration with a breed registry other than a Walking Horse registry (i.e., Foxtrotter, Paso Fino, etc.).
- C.** Any Walking Horse that is not registered but can be conclusively proven to possess certain parentage may be eligible for recognition of that parentage. For example, if a registered mare delivers a foal with unknown sire, and that foal meets Alternative Registry qualifications, then the foal will have 50% recognized bloodlines.
- D.** Except where specified, all other Regular Registry rules, regulations, and requirements apply to Alternative Registry applicants.
- E.** Because the DNA testing process is recognized to be over 90% effective, should an applicant return DNA breed test results that indicate less than 75% Walking Horse genetics, such a horse may still qualify for Alternative Registration through an appeals process that requires inspection for correct gait and conformation. In this case, the applicant bears the additional expense of the appeals process.
- F.** Any Alternative Registry Walking Horse which participates in a breeding program must be bred to a full blooded Walking Horse from an approved regular registry. The resulting progeny will be eligible for Alternative Registration with 50% recognized bloodlines. Progeny from future generations will increase the percentage of recognized bloodlines, until the recognized ancestry comprises more than 75% of the horse's total genetics; at that time the horse becomes eligible for regular registration with WWHA.

Example of an Alternative Registry Horse-Producing Regular Registry Offspring:

		--Alternative Registry Horse
	AR Horse 50%	--Regular Registry Horse
AR Horse 75%		--Regular Registry Horse
RR Horse 87.5%	RR Horse	--Regular Registry Horse
	RR Horse	--Regular Registry Horse
	RR Horse	--Regular Registry Horse
RR Horse		--Regular Registry Horse
	RR Horse	--Regular Registry Horse
		--Regular Registry Horse

APPENDIX A: DISCIPLINARY PROCEDURES

OFFENSE	WARNING	MODERATE	SEVERE
1st Offense	<p>Warning is issued with no penalty.</p> <p>Members account is updated with Warning, Date of Warning, and location.</p>	<p>Member can be fined up to \$5,500.</p> <p>Suspension up to 24 months could be imposed.</p> <p>Sanctioning placed on said member.</p> <p>Possible probation period could be placed on member.</p> <p>Members account is updated with date, location and penalty.</p>	<p>Member can be fined up to \$10,000.</p> <p>Suspension up to 48 months or more.</p> <p>1 year probation.</p> <p>Members account is updated with location, date and penalty.</p>
2nd Offense	<p>No warning will be issued. 2nd offense's move automatically up to moderate issuance.</p>	<p>Member can be fined up to \$7,500.</p> <p>Suspension up to 48 months could be imposed.</p> <p>Sanctioning placed on said member.</p> <p>Probation of 60 days after suspension can be placed on member.</p> <p>Members account is updated with date, location and penalty.</p>	<p>Member can be fined up to \$15,000.</p> <p>Suspension of no less than 48 months will be imposed, but shall not exceed 60 months.</p> <p>1 year probation after suspension is lifted.</p> <p>Members account is updated with location, date and penalty.</p>

OFFENSE	WARNING	MODERATE	SEVERE
3rd Offense	No warning will be issued. 3 rd offense will move automatically up to sever issuance.	N/A	<p>Member will be fined up to \$25,000.</p> <p>Suspension of no less than 60 months will be imposed, but shall not exceed 120 months.</p> <p>2 year probation after suspension is lifted.</p> <p>Sanctioning placed on member for 2 years. This is to include limited member benefits.</p>
4th Offense	N/A	N/A	<p>Member will be fined up to \$50,000.</p> <p>Suspension of no less than 120 months will be imposed. 3 year probation after suspension is lifted.</p> <p>Sanctioning placed on member for 3 years. This is to include limited member benefits.</p> <p>Members account will be placed in suspended state until all fines are paid.</p> <p>Possible lifetime suspension can occur.</p>